

Important Disclosures

Effective Date: September 21, 2026

The information provided on this website is intended to provide general information about Brick & Kyle Associates, Inc. (“Brick & Kyle”) and its investment advisory and financial planning services.

Please read the following important disclosures before relying upon information contained on this website.

1. Investment Adviser Registration

Brick & Kyle Associates, Inc. is an investment adviser registered with the U.S. Securities and Exchange Commission.

Registration with the U.S. Securities and Exchange Commission does not imply a particular level of skill, training, expertise, or endorsement by the Commission.

Additional information about Brick & Kyle, including its services, fees, business practices, conflicts of interest, and other important information, is available in the firm’s regulatory disclosure documents, including its Form ADV and Form CRS.

Visitors and prospective clients are encouraged to review these documents carefully.

2. General Information Only

Information provided on this website is intended for general informational and educational purposes.

Nothing contained on this website is intended to constitute individualized:

- Investment advice
- Financial planning advice
- Retirement advice
- Tax advice
- Legal advice
- Accounting advice
- Estate-planning advice
- Insurance advice
- A recommendation to buy, sell, or hold any particular security or investment

The information presented on this website should not be relied upon as a substitute for advice that considers your individual financial circumstances, objectives, needs, risk tolerance, tax situation, time horizon, and other relevant considerations.

3. No Offer or Solicitation

Nothing on this website constitutes an offer to sell, a solicitation of an offer to purchase, or a recommendation regarding any security, investment product, or investment strategy.

Information regarding Brick & Kyle's services is provided for general informational purposes and is subject to applicable laws and regulatory requirements.

Advisory services may not be available in every jurisdiction or to every individual.

4. Investment Risk

All investing involves risk.

Investment values can rise or fall, and investors may lose some or all of the principal invested.

Different investment strategies involve different levels and types of risk. No investment strategy can eliminate investment risk or guarantee a particular result.

Investors should carefully consider their financial circumstances, investment objectives, risk tolerance, liquidity needs, and time horizon before making investment decisions.

5. No Guarantee of Future Results

Brick & Kyle does not guarantee investment performance, investment returns, financial outcomes, or achievement of any particular financial objective.

Past performance is not indicative of, and does not guarantee, future results.

Market conditions, economic events, interest rates, inflation, tax laws, geopolitical developments, and numerous other factors may affect investment performance.

Actual results may differ materially from historical results, estimates, projections, expectations, or examples discussed on this website.

6. Retirement and Financial Planning Information

The website may contain information concerning:

- Retirement preparation
- Retirement income
- Investment strategies
- Wealth accumulation
- Cash-flow planning
- Inflation
- Taxes
- Insurance considerations
- Estate-planning considerations
- Income projections
- Asset allocation
- Other financial-planning topics

Such content is provided for educational purposes and is not intended to represent a complete financial plan or recommendation for any particular individual.

Every financial situation is different.

A strategy that may be appropriate for one person may not be appropriate for another.

Before implementing a financial or investment strategy, individuals should consider their particular circumstances and consult with the appropriate financial, tax, legal, or other professional advisers.

7. Articles, Insights and Educational Materials

Articles, blog posts, market commentary, educational materials, financial-planning resources, and other content published on this website reflect information available at the time the material is prepared.

Financial markets, tax rules, laws, regulations, economic conditions, investment products, and planning strategies may change.

Information contained in older articles or materials may therefore no longer reflect current conditions.

Brick & Kyle does not undertake an obligation to continuously update every article or educational resource previously published on the website.

The publication of an article or discussion of a financial topic should not be interpreted as a recommendation that a particular strategy or investment is appropriate for the reader.

8. Projections, Illustrations and Hypothetical Examples

The website may occasionally contain examples, illustrations, estimates, projections, or hypothetical scenarios designed to explain financial concepts.

Such examples are for illustrative and educational purposes only.

They are not guarantees or predictions of actual investment performance or financial outcomes.

Hypothetical results do not represent the performance of an actual client account and may not reflect the impact of actual market conditions, fees, expenses, taxes, trading costs, or other factors that can affect investment results unless specifically stated otherwise.

Actual results may differ substantially.

9. Tax, Legal and Estate-Planning Matters

Information concerning tax, legal, estate-planning, or accounting matters is provided solely for general educational purposes.

Brick & Kyle does not provide legal advice through this website.

Tax and legal rules can be complex and are subject to change.

Individuals should consult their attorney, accountant, tax professional, or other qualified adviser regarding their specific circumstances before taking action based upon tax, legal, estate-planning, or accounting information discussed on this website.

10. Accuracy and Timeliness of Information

Brick & Kyle makes reasonable efforts to provide useful and accurate information.

However, the firm does not guarantee that all website information will be complete, current, or free from errors.

Information may change after it has been published.

Market data, economic information, investment information, statistics, quotations, or other information obtained from third-party sources may be believed to be reliable but may not have been independently verified by Brick & Kyle.

Visitors should not make financial or investment decisions solely on the basis of information contained on this website.

11. Third-Party Information and Links

This website may contain information obtained from third parties or links to external websites, regulatory agencies, custodians, financial institutions, research providers, or other organizations.

Third-party links and information are provided for convenience and informational purposes.

Unless specifically stated otherwise, the inclusion of third-party information or a link does not constitute an endorsement, recommendation, sponsorship, or approval by Brick & Kyle.

Brick & Kyle does not control third-party websites and is not responsible for their content, accuracy, availability, privacy practices, security, products, or services.

12. Custodians and Other Financial Institutions

Client assets may be maintained by independent third-party custodians or financial institutions in accordance with applicable client arrangements.

The use of a particular custodian, broker-dealer, technology provider, or other financial institution should not be interpreted as an endorsement by that organization of Brick & Kyle or its investment advice.

Custodial, brokerage, execution, and related services provided by third parties are separate from the investment advisory services provided by Brick & Kyle.

Applicable account agreements, Brick & Kyle's regulatory disclosures, and the documents provided by the applicable custodian or financial institution contain additional information regarding those relationships.

13. No Client Relationship Through the Website

Accessing this website, reading its content, subscribing to information, submitting a contact form, contacting Brick & Kyle by telephone or email, or requesting additional information does not establish an investment advisory relationship.

An advisory relationship is established only after the appropriate agreements, disclosures, documentation, and other applicable requirements have been completed.

Website forms are intended for general inquiries only.

Do not submit Social Security numbers, financial account numbers, passwords, confidential financial records, or other sensitive personal or financial information through website forms.

14. No Trading or Account Instructions

Do not use this website, website forms, or ordinary email to communicate:

- Securities trading instructions
- Requests to buy or sell investments
- Requests for account withdrawals or transfers
- Changes to account ownership or registration
- Time-sensitive investment instructions
- Confidential financial information
- Passwords or security credentials

Existing clients should communicate account-related instructions using the methods provided or approved by Brick & Kyle.

Brick & Kyle cannot guarantee that messages sent through the website or ordinary email will be received or reviewed immediately.

15. Regulatory Documents

Important information about Brick & Kyle is available through the firm's regulatory disclosures.

Visitors and prospective clients should review, as applicable:

Form ADV

Provides information concerning Brick & Kyle's business, advisory services, fees, investment practices, conflicts of interest, disciplinary information, and other matters.

Form CRS — Client Relationship Summary

Provides summary information designed to help retail investors understand the nature of their

relationship with an investment adviser, including services, fees, conflicts of interest, and other important considerations.

These documents should be reviewed together with any applicable agreements and other disclosures provided by Brick & Kyle.

16. Information for Prospective Clients

Information provided on this website should be considered only one part of evaluating an investment adviser.

Prospective clients should carefully review Brick & Kyle's regulatory disclosures, understand the services being offered, consider applicable fees and expenses, ask questions, and determine whether the advisory relationship and services are appropriate for their particular circumstances.

17. Website Privacy and Terms

Use of this website is also subject to Brick & Kyle's:

- **Privacy Policy**
- **Terms of Use**

Visitors should review those documents for additional information concerning website use, privacy, security, third-party services, intellectual property, and other matters.

18. Changes to These Disclosures

Brick & Kyle may modify these Important Disclosures periodically to reflect changes in its website, services, regulatory requirements, business practices, or other circumstances.

The most current version will be posted on this website.

19. Contact Information

Questions regarding these disclosures or Brick & Kyle's services may be directed to:

Brick & Kyle Associates, Inc.

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Telephone: (215) 493-8787

Additional information about Brick & Kyle is available through the firm's Form ADV, Form CRS, and applicable regulatory resources.

Last Updated: September 21, 2026